

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

HARRINGTON GLOBAL OPPORTUNITY
FUND, LIMITED,

Plaintiff,

v.

CIBC WORLD MARKETS CORP.; CIBC
WORLD MARKETS INC.; BANK OF
AMERICA SECURITIES, INC.; MERRILL
LYNCH CANADA INC.; MERRILL LYNCH
PROFESSIONAL CLEARING CORP.; TD
SECURITIES, INC.; TD SECURITIES(USA)
LLC; CORMARK SECURITIES, INC.; UBS
FINANCIAL SERVICES, INC.; UBS
SECURITIES CANADA, INC.; SOCIETE
GENERALE CAPITALE CANADA, INC.; SG
AMERICAS SECURITIES, LLC; AND JOHN
DOES 1 THROUGH 10,

Defendants.

Case No. 1:21-cv-00761-LGS

Hon. Lorna G. Schofield

**DEFENDANTS MERRILL LYNCH
CANADA INC. AND MERRILL
LYNCH PROFESSIONAL
CLEARING CORP.'S RULE 7.1
CORPORATE DISCLOSURE
STATEMENT**

Pursuant to Federal Rule of Civil Procedure 7.1, Defendants Merrill Lynch Canada Inc. and Merrill Lynch Professional Clearing Corp., by and through their undersigned counsel, hereby state as follows:

Merrill Lynch Professional Clearing Corp. is a direct, wholly owned subsidiary of BofA Securities, Inc. Merrill Lynch Canada Inc. is a direct, wholly owned subsidiary of Merrill Lynch Canada Holdings Company, which is a direct, wholly owned subsidiary of Merrill Lynch International, LLC. BofA Securities, Inc., and Merrill Lynch International, LLC, are direct, wholly owned subsidiaries of NB Holdings Corporation, which is a direct, wholly owned subsidiary of Bank of America Corporation. Bank of America Corporation is a publicly held company whose shares are traded on the New York Stock Exchange and has no parent corporation. Based on the U.S. Securities and Exchange Commission Rules regarding beneficial

ownership, Berkshire Hathaway Inc., 3555 Farnam Street, Omaha, Nebraska 68131, beneficially owns greater than 10% of Bank of America Corporation's outstanding common stock.

Dated: March 25, 2021
New York, New York

Respectfully submitted,

By: /s/ Abby F. Rudzin
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and Merrill Lynch Professional Clearing Corp.*